# Stock Annex: Turbot (Scophthalmus maximus) in Subarea 4 (North Sea)

Stock specific documentation of standard assessment procedures used by ICES.

Stock:	Turbot
Working Group:	Working Group on the Assessment of Demersal Stocks in the North Sea and Skagerrak (WGNSSK)
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#### A. General

#### A.1. Stock definition

Genetic studies within restricted geographical areas had illustrated the presence of distinct turbot populations in the Baltic and Irish Seas using neutral markers (e.g. Delbare and Declerck, 1999; Nielsen et al., 2004). Over the period 2006–2012, a genetic study of turbot population structure all over the species' distribution area has been conducted using both neutral and gene-associated genetic markers (Vandamme et al., 2014). The neutral marker panel confirmed the break-up between the Baltic and Northeast Atlantic clusters. Within the latter, a more detailed pattern of genetic differentiation could be observed when gene-associated markers were also included in the analysis. This full analysis suggests a break between the southern and central parts of the North Sea, making turbot from the southern North Sea genetically more similar to those from the Western Waters. However, because it is unknown whether there are also differences in life history within the North Sea, and information on the number and location of spawning aggregations is missing, the break between the southern (4.c) and central parts (4.a and 4.b) is insufficiently supported to be recommended for management purposes. Additionally, it is logistically difficult to split the North Sea into several management and assessment units.

Recent genetic evidence indicates that area 27.3a (Skagerrak and Kattegat) represents a transition zone for turbot between the highly saline North Sea and the brackish Baltic Sea (Le Moan, 2019). Turbot in the Kattegat would match more with the genetic structure of the population in the Baltic, while turbot in the Skagerrak is more related to turbot in the North Sea. The genetic evidence is largely consistent with observation of turbot distribution from bottom trawl surveys in the North Sea, Skagerrak, Kattegat and the Baltic Sea. Abundance was generally highest at the border between the North Sea and Skagerrak and the border of the Kattegat and western Baltic Sea further indicating that this area represents a mixed stock. As genetic and survey data indicate a separation of turbot populations in the North Sea and Baltic Sea; current separation of the turbot stocks does not seem to reflect its actual distribution. Hower, our knowledge about the genetic composition of turbot in the Skagerrak is limited, and additional genetic evidence will help to better define turbot stock structure and better define adequate management units for this species (ICES, 2020). A re-evaluation of the stock structure for turbot is needed and a possible merge of the Skagerrak part of the stock with the North Sea stock and the Kattegat part with the Baltic Sea stock should be discussed within the stock identity working group (SIMWG). The current stock structure is represented in Figure A.1 below.

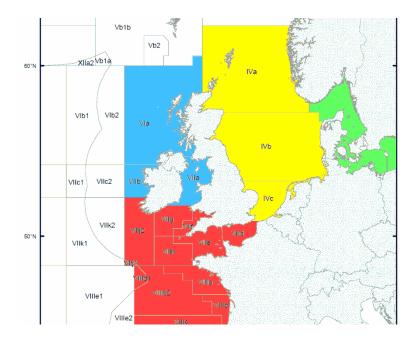


Figure A.1. Stock structure of turbot in the Northeast Atlantic as proposed by IBPNew 2012.

#### A.2. Fishery

In the 1950s the UK was the biggest contributor to the landings, with almost 50% of the landings coming from this country. In that early period, the landings fluctuated around 6000 tonnes per year. Since 2016, the landings are around 3300 tonnes per year. Most of the landings currently stem from the Netherlands that contributes between 50 and 60%. Within the Netherlands, most of the landings come from the 80 mm beam trawl fleet fishing for flatfish species sole and plaice. Also, in most other countries, turbot is caught in mixed fisheries trawls. The second largest contributor to the landings is Denmark (between 15 and 20%). In Denmark there is a directed fishery for turbot using gillnets.

Within the Netherlands, most of the landings come from the Southern Bight and the German Bight. In Belgium, turbot is mainly caught in mid-class (301–900 Hp) and large (>900 Hp) beam trawlers. These vessels are mostly flatfish directed, particularly towards plaice and sole, together with the associated bycatch species such as turbot, brill, dab, lemon sole, anglerfish and some roundfish. In Danish fisheries, turbot is taken only as bycatch. In the North Sea, where most of the Danish landings of turbot are taken, the gillnet fishery accounts for almost half of the landings.

Before 1999, no information on discarding is available. In at least part of that period, an EU-wide minimum landings size (MLS) of 30 cm was enforced. However, this minimum landings size was abandoned and member states have set their own MLS rules and regulations. For example, Belgium has an MLS of 30 cm, while the Netherlands implemented a minimum size of 25 cm. Since 2016 Dutch Producer Organisations are taking measures, e.g. a gradually increase in MLS from 27 to 32 cm, to avoid an early exhaustion of the quota (Table A.1). Turbot has a temperory survival exmption under the landing obligation and such measures may influence discard decisions in the fleet, causing an increase in discarding of the lower market size (younger age-classes) turbot in the Dutch flatfish fleet. However, since 2018 Producer Organisation meaures have been relaxed, lowering the MLS back to 27cm and increasing the trip limits to 3000 kg. Discard information for the Netherlands are available for the period 1999 to present. These data come from two Dutch monitoring programmes. An observer programme that has been carried out since 1999, mainly on the Dutch flatfish beam trawl fleet, and a self-sampling programme in a reference fleet that was set up in 2010. From 2011 onwards, observer trips have taken place on board fishing vessels operating in the reference fleet.

Dutch PO-Measures				
Year	Date	Max kg per week/trip	MLS	
2016	Ionuoru		27	
2010	January	-	cm	
2016	April		30	
2010		-	cm	
2016	May		32	
2010		-	cm	
2016	October	375 kg	32	
2010		575 Kg	cm	
2016	November	600 kg	32	
2010		000 Kg	cm	
2017	January	_	32	
2017	January		cm	
2017	March	800 kg	32	
2017			cm	
2017	November	2000 kg	30	
2017	ivovenieei	2000 119	cm	
2018	Januaru	30000 kg	27	
	,		cm	
2019	Januray	30000 kg	27	
	<u>, , , , , , , , , , , , , , , , , , , </u>	0	cm	

Table A.1. Measures taken by the Dutch Producer Organisations from 2016 up to present.

#### Conservation schemes and technical conservation measures

Fishing effort has been restricted for demersal fleets by a number of EC regulations (EC Council Regulation No. 2056/2001; EC Council Regulation No 51/2006; EC Council Regulation No.40/2008, annex IIa). For example, for 2007, Council Regulation (EC) No 41/2007 allocated different days at sea depending on gear, mesh size, and catch composition: beam trawls could fish between 123 and 143 days per year; trawls or Danish seines could fish between 103 and 280 days per year; gillnets could allowed to fish between 140 and 162 days per year; trammelnets could fish between 140 and 205 days per year.

Several technical measures are applicable to the flatfish fishery in the North Sea: mesh size regulations, minimum landing size, gear restrictions and a closed area (the plaice box).

Mesh size regulations for towed trawl gears require that vessels fishing north of 55°N (or north of 56°N and east of 5°E, since January 2000) should have a minimum mesh size of 100 mm, while to the south of this limit, where the majority the plaice fishery takes place, an 80 mm mesh is allowed. In the fishery with fixed gears, a minimum mesh size of 100 mm is required. In addition to this, since 2002, a small part of North Sea plaice fishery is affected by the additional cod recovery plan (EU regulation 1342/2008) that prohibits trawl fisheries with a mesh size <120 mm in the area to the north of 56°N.

The maximum aggregated beam length of beam trawlers is 24 m. In the 12 nautical mile zone and in the plaice box, the maximum aggregated beam-length is 9 m. A closed area has been in operation since 1989 (the plaice box). Since 1995, this area was closed in all quarters. The closed area applies to vessels using towed gears, but vessels smaller than 300 HP are exempted from the regulation.

#### B. Data

#### **B.1.** Commercial catch

#### Landings

The landings of turbot are available through the EuroStat database. This database holds the officially recorded landings for all countries landing turbot in the North Sea. There are no records for the Dutch landings in the EuroStat database between 1984 and 1987. However, for the North Sea, these missing landings have been estimated in a Dutch/Belgian research project, and have been used to fill in the gaps (Boon and Delbare, 2000).

In the 1950s the UK was the biggest contributor to the landings, with almost 50% of the landings coming from this country. In that early period, the landings fluctuated around 6000 tonnes per year. Since 2016, the landings are around 3300 tonnes per year. Most of the landings currently stem from the Netherlands that contributes between 50 and 60%. Within the Netherlands, most of the landings come from the 80 mm beam trawl fleet fishing for flatfish species sole and plaice. Also, in most other countries, turbot is caught in mixed fisheries trawls. The second largest contributor to the landings is Denmark (between 15 and 20%). In Denmark there is a directed fishery for turbot using gillnets.

There is no long-term continuous programme for age sampling of landings in any of the countries. Therefore, the age structure of the landings is estimated using data from different sources in different time periods. What follows is a brief description of all data sources that are available.

From 1975, there is a four-year time period for which the age structure of the landings has been estimated by Weber (1979). This age structure is estimated from market samples taken in Cuxhaven and Hamburg, and from samples collected on board research vessel surveys. Most of the samples represent landings in the eastern part of the North Sea (27.4.b). The age structure is estimated from a total of 9360 length and 6389 weight measurements combined with 6788 age samples. The total numbers-at-age in the over-all landings were estimated in the original publication by combining the age samples with the quarterly landings for England, the Netherlands and Germany. The German data were in the end not included in the assessment because of concerns about data quality and a potential bias because less than 20% of the landings stem from the German fleets at that time.

The second dataset from 1981 to 1990 is derived from landings in the Netherlands and reported in the "Datubras" project (Boon and Delbare, 2000). A stratified sampling scheme was used to collect the samples, using quarters, auctions, and market categories as stratification levels. Between 398 and 862 age samples were taken annually for age determination of fish. Most of the samples represent areas 4.b and 4.c. The Dutch data are subsequently raised to the total international landings.

The third dataset spans the period 2000–2002. It was supplied by Cefas and based on the UK landings of turbot. These were raised on an annual basis to the total landings. The UK data represented only 10–15% of the catches in this period and consisted of older ages compared to other fisheries.

The fourth and final dataset stems again from the Netherlands. It spans the years 1998 and 2004–present. The age structure is estimated from stratified sampling, accounting for auctions, quarters and market categories. These are raised to total Dutch landings by quarter. Between 494 and 1921 age samples were taken per year. The total Dutch landings are subsequently raised to the total international landings per year.

In preparation of the inter-benchmark, Danish age and length structure for landings were made available. Since then the age structure was available for 2014–present and the length structure has been available for 2002–present. The age data have been imported into InterCatch, and used to raise new age structures for landings in the period 2014– present

During the Interbenchmark in 2017, the different data-sources were trialled in a sensitivity analysis of the baserun:

- The German data showed not to be informative in the estimation of trends. To maintain an evenly consistent time-series, it was decided to drop the German catch-at-age data.
- The UK catch-at-age data (2000–2002) were excluded given the uncertainty around these data and the selection of older ages compared to other fisheries.
- The Dutch data are included and raised to the total international landings per year.
- The Danish age samples were found to be of good quality and not inconsistent with the Dutch data. Since this dataset provides valuable age composition information (other métiers than those from the Dutch sampling programme) and the data collection effort is ongoing, the data are used in the assessment.

By excluding the German and UK catch-at-age data, the final set of catch-at-age data, using Dutch and Danish age information, spans the time period from 1981 to present, coinciding with the start of the Dutch catch-at-age data.

#### Discards

Before 1999, no information on discarding is available. In at least part of that period, an EU-wide minimum landings size (MLS) of 30 cm was enforced. However, this minimum landings size was abandoned and member states have set their own MLS rules and regulations. For example, Belgium has a MLS of 30 cm, while the Netherlands implemented a minimum size of 25 cm. In recent years (2015-2017), Dutch Producer Organisations took measures, e.g. a gradual increase in MLS from 27 to 32 cm, to avoid an early exhaustion of the quota (Table A.1). Such measures may influence discard decisions in the fleet, causing an increase in discarding of the lower market size turbot (younger age-classes) in the Dutch flatfish fleet. Discard information for the Netherlands are available for the period 1999 to present. These data come from two Dutch monitoring programmes. An observer programme that has been carried out since 1999 mainly on the Dutch flatfish beam trawl fleet and a self-sampling programme in a reference fleet that was set up in 2010. From 2011 onwards, observer trips have taken place on board fishing vessels operating in the reference fleet. The discard data are used for producing an index of discarding (dpue) over time (1999–2016) (Figure B.1). The dpues obtained from both programmes show an increase in most recent years, but they are variable. The increase may be related to the measures taken by the Dutch POs, resulting in a decrease in the landings of age two turbot. It is important to note that these dpues are based on a small number of observations (i.e. a small number of trips were sampled). During the Interbenchmark, different configurations of the dpue were analysed (per gear, per quarter, per monitoring programme), but due to the small number of samples the discard indices were not used in the assessment.

In the 2017 IBP, Danish age samples of turbot discards were made available for the period 2014 – 2016. Since then, Denmark has submitted age samples however, sample size is very limited. Very few fish are sampled in the discards of some Danish métiers (<10 per métier) which is not enough to be used in the raising of international landings. In 2019, no age samples were available for discards.

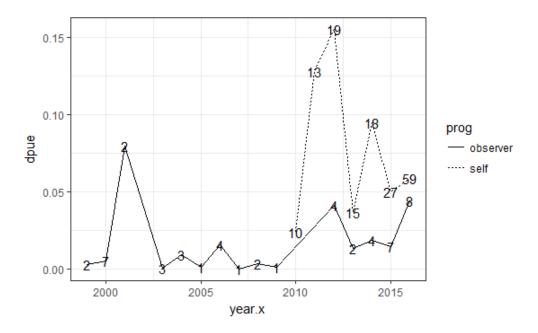


Figure B.1 Dpues of both discard monitoring programmes (self-sampling; dashed line, and observer: solid line). Number of observations (trip sampled) per year are shown.

In general, only an incomplete catch-at-age matrix is available that needs to be reconstructed by modelling for the years 1991 to 1997 and 1999 to 2001. Age information for recent years is mainly available only from the Dutch fishing fleets representing more than 50% of total landings. Data from Denmark were from 2014 onwards. Danish data demonstrated a shift towards older fish compared to the Dutch data. This may also be true for other countries, but no information is available. Although the inclusion of Danish data impacted the overall catch-at-age matrix only to a minor extent, this highlights the need to get catch-at-age data not only from the Netherlands but also from other countries. Also, retrospective data would be highly beneficial if available.

The 2018 Inter-benchmark reviewed the plus-group settings. For the analysis, data from 1981 to present and age 1 to 10 were chosen as initial values. Sensitivity runs were performed using a step-wise reduction of the plus-group to 6. A comparison of the runs showed small differences between the runs with a plus-group of 10 to 8. Using a smaller plus-group of 6 or 7 results in a lower estimate of the SSB and higher estimate of Fbar, while there is no influence on the recruitement. The runs were critically reviewed based on Mohn's rho as well as model diagnostics. Solely based on the Mohn's rho, a plus-group of 9 would be preferable. Model diagnostics, however, showed a more consistent selectivity pattern throughout the time period of the assessment for the plus-group of 8. In addition, the selectivity for the older ages seems more stable. Given the better selectivity pattern and small differences in model outcomes, it was agreed to use a plus-group of 8 for the catch-at-age.

#### **B.2. Biological Data**

#### B.2.1. Weight-at-age

Weight-at-age data in the catch for this stock are available for most but not all of the years during which there is age sampling of the landings (Figure B.2). Data are available for the period 1981–1990 from the DATUBRAS database (Boon and Delbare, 2000), and then again for the years 1998, and 2004 to present from Dutch market sampling. Stock weights are estimated as the catch weights in Q2, coinciding with peak spawning of the stock. Hence, stock weights estimates are available for the same time period as catch weights, but excluding the years 2005 and 2006 where no samples were available in the second quarter. In addition to this, average weights-at-age for the stock during the period 1976–1979 are available from Weber (1979). For both the catch and stock weights, estimated values for ages 6 and older tend to show large interannual fluctuations, due to the limited number of fish sampled at these ages. The vast majority of landings are for ages 4 and younger and this is reflected in the number of samples for these ages.

With no data except a single year available in the 1990s (1998) modelling was required to infer the trend in weight-at-age over the period 1991 to 2003. The 2015 IBP decided that using a constant annual weight-at-age vector over the entire period as input to the stock assessment models would be inappropriate, especially since substangial increases in weight-at-age have been observed for other flatfish species in the North Sea during this time (ICES, 2016). Hence, a time-varying growth model was included in the assessment. This growth model determines the catch weights-at-age and stock weight-at age. Stock weights-at age are defined in a two-step process. First, time varying length-at-age is modelled using a von Bertalanffy growth model where length-at-age *a* (in mm) in a given year *t* is calculated:

$$L_{a,t} = L_{\infty,t} \left( 1 - \exp(-K(a - a_0)) \right)$$

where  $L_{\infty,t}$  is the asymptotic length in year t, K is a curvature parameter, and  $a_0$  determines the point in time when the fish has zero length. Stock weights-at-age in a given year  $W_{a,t}^S$  (in kg) are calculated using an allometric growth model:

$$W_{a,t}^S = \alpha L_{a,t}^A$$

With parameters  $\alpha$ = 0.00001508 and  $\beta$ =3.090, as estimated by Bedford *et al.* (1986). Catch weights-at-age  $W_{a,t}^{c}$  are linked to stock weights-at-age by a simple age-independent scaling factor such that  $W_{a,t}^{c} = \gamma W_{a,t}^{s}$ .

#### Linking the weights-at-age model to data

The weights model has three scalar parameters: K,  $a_0$  and  $\gamma$ . In addition, there is a vector  $L_{\infty,t}$  that needs to be estimated. This vector is a complex function of time and specifying an *a priori* shape may not fully address the multitude of processes that take place in shaping its functional form. Therefore, we used a smooth function of time, constructed using a number of b-spline basis functions (de Boor, 2001). These functions can be viewed as transformations of the explanatory variable *t*. For simplicity, the number of parameters (and the flexibility of the resulting  $L_{\infty,t}$ ) is taken to be equal to the number of parameters used for the spline describing the variation of fishing mortality over time (i.e. five parameters; see Figure B.2).

The parameter fitting is done in the likelihood function of the assessment model. In short, the available observations of catch weights-at-age (from Q2 to model stock weights) and landings weights-at-age (full year to model landings weights) are used in a likelihood component of the model that assumes a normal distribution of errors in the observations, with age-dependent standard deviations  $\sigma_a^S$  and  $\sigma_a^C$  for the stock and catch weights, estimated for each age separately.

Results for the fitted landings weights–at-age and stock weights-at age for the assessment model with nine ages are found in Figure B.2. Clearly  $L_{\infty}$  has changed over time, increasing in the period 1975–1990, and decreasing in the period 1995–2010. This pattern is also observed in other flatfish in the North Sea. Residual variance appears to increase with age. Most of the data appear to fit the model quite well, apart from the Weber data for which the older ages are overestimated by the model.

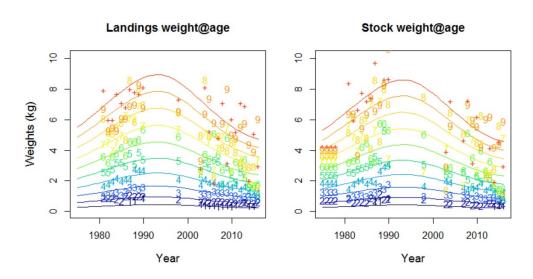


Figure B.2. (Top left) Landings weights assuming gradually changing weights-at-age, following a von Bertalanffy growth curve, (to right) stock weights assuming gradually changing weights-at-age, following a von Bertalanffy growth curve. L<sub> $\infty$ ,t</sub> is a 5 parameter spline in this example.

#### Maturity

At IBPNEW (ICES, 2012a) turbot maturity data from the Netherlands were used to study some reproductive characteristics of turbot from the North Sea. A female maturity ogive derived from a General Linear Model fit using the maturity data from the recent time period was chosen for the stock.

#### Natural mortality

There are currently no accepted estimates of turbot natural mortality over time. A number of alternative methods, using different estimates of growth parameters, were used to estimate the level of natural mortality by age for turbot in the North Sea at IBPNEW (ICES, 2012a). Since turbot grows relatively fast compared to other flatfish species in the same areas, results indicate that natural mortality is higher. However, due to high variability for recorded values of K (an estimated von Bertalanffy growth parameter) for turbot, it proved difficult to find agreement on natural mortality values. Hence, after performing assessment test runs, a constant value of M = 0.2 for all ages and years was chosen for this stock. This is twice the level used in the sole and plaice assessments in the North Sea.

#### **B.3.** Surveys

Two scientific survey-series catching turbot are available. The Beam Trawl Survey (BTS-ISIS), and the Sole Net Survey (SNS). The BTS-ISIS is an offshore beam trawl survey designed to catch demersal species. The survey is performed in quarter 3. The index is based on the catch in one of the two nets and on catches between 52 and 239 individuals per year. The number of individuals used to generate an age–length key can be larger than the number of individuals used for the index, because the index is based on only the catch in one of the two nets, while age samples can be taken from both nets. The years included in the assessment run from 1991 to present and ages used are 1 to 7 (7 as a true age).

The procedure to create an age-structured index series from the BTS-ISIS has been updated. Previously, each individual fish caught was linked to an age-length key based on its length. The age-length key was based on all age samples in the BTS survey since 1991. The updated procedure first links the individual fish from which otoliths are taken to the length sample. This allows direct ageing of the fish in the index. Those fish for which no direct age sample is available are then assigned to ages using the agelength key based on all fish in the period 1991–present. This method is not ideal as combining an ALK over many years, so that you are using the same ALK each year, may conceal any cohort signals in the data. However, given the low numbers caught within the survey this effect will be neglible for turbot as most fish are sampled and directly aged.

The SNS is a nearshore beam trawl survey designed to monitor flatfish fauna, and samples transects further offshore than the other inshore surveys. The SNS survey area overlaps with those of the Dutch DFS (inshore) and BTS-ISIS (offshore) (ICES, 2016). It is also performed in quarter 3. The years included in the assessment run from 2004 to present, and ages used are 1 to 6 (6 as a true age). Note that the age classes of the SNS survey used in the current assessment has changed compared to previous assessments after evaluating the results of age-class configurations during the Interbenchmark in 2017 (ICES, 2018a).

Within the Interbenchmark, several other surveys were trialled. A BTS index using Delta-GAM standardisation was considered, including:

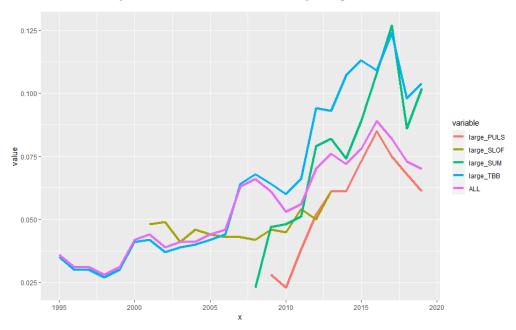
- The Dutch BTS-ISIS survey
- The Dutch BTS-Tridens survye
- The Belgian BTS survey
- The German BTS-Solea survey

The index spanned the period 2002–2016 and included ages 1–5. After testing the Delta-GAM BTS-index, the Interbenchmark chose to keep using the stand-alone BTS-ISIS index. The Delta-GAM BTS-index did not improve the model diagnostics or retrospective patterns and resulted in a reduction in the length of the survey data time-series.

Furthermore, the International Bottom Trawl Survey (IBTS) in quarter 1 and 3 was evaluated. The IBTS turbot data were trialled in several variations of the data: in cpue, numbers per hours and exploitable biomass. Including the IBTS turbot data did not improve the assessment because observation variances are high in all cases except for number-per-hour. The assessment model was, however, not tailored to fit this kind of data, and was therefore not considered further. In addition, catches of turbot in the IBTS are very low, and therefore the Interbenchmark decided to exclude the IBTS from the assessment.

#### **B.4.** Commercial cpue

In addition to the survey-based indices, there is also an index based on the Dutch 80 mm beam trawl fleet lpue. Since 2009, the fleet has transitioned to innovative gear types, replacing the beam with a wing design and replacing the tickler chains with electrical pulse stimuli. These changes may result in different catchabilities. Figure B.4 shows the individual lpues for these fleets, clearly indicating markedly different absolute lpue values, while trends in especially pulse and traditional beam trawls seem similar. Not only do their catchabilities differ, but so do their predominant fishing grounds. The pulse fleet now inhabits the more traditional sole grounds in the southern North Sea, while the remaining traditional beam trawlers fish in the northerly areas of



the original distribution range, in areas where more turbot is caught. Therefore, we have to correct for gear and area when standarizing the lpue.

Figure B.4. Turbot LPUE by fleet segment over time (1995 – 2019).

The lpue time-series is standardised by building a statistical model that includes interactions in space, time and gear. Raw lpues are calculated per trip and per ICES rectangle. The fishing effort per rectangle is then taken as a weighting factor in the analysis. Only those rectangles where fishing occurred in eleven or more years are then used. This dataset amounted to 99% of all turbot catches since 1995. The eleven years are considered an arbitrary number, but prevents the statistical model predicting effort in areas that are poorly sampled. Sensitivities were executed by reducing or expanding the eleven years only minor effects on model results.

Several different model configurations were tested and analysed (Table B.1). In general, residuals did not show systematic patterns and all configurations seem statistically appropriate. AIC and BIC criteria were calculated.

Although model D shows a clear drop in AIC, the increased use of parameters is substantial, as shown with the BIC criteria. All standardized lpue models were trialled in the turbot assessment. After comparing the results, the Interbenchmark decided to use model D in the turbot assessment.

MOD	DESCRIPTION	AI	BI
EL		C	C
A	te(SI_LONG,SI_LATI, k = 5) + as.factor(year) +	293	318
	LE_GEAR_INNOV	5	0
В	te(SI_LONG,SI_LATI, year,k = 5)+LE_GEAR_IN-	265	311
	NOV	6	2
С	te(SI_LONG,SI_LATI, k = 5) + te(year, k = 10) +	305	323
	LE_GEAR_INNOV	3	0
D	te(SI_LONG,SI_LATI, by = as.factor(year), k = 5) +	161	354
	as.factor (year, k = 10) + LE_GEAR_INNOV	8	0

Table B.1 Description of standardized lpue models and corresponding AIC
and BIC criteria.

#### **B.5 Internal consistency**

The available scientific surveys have a low internal consistency, especially for older ages, leading to a poor ability to track cohorts over time. Because of this, the assessment is strongly influenced by the Dutch lpue index. This index has been standardized for changes in fishing areas and gears used (i.e. traditional beam trawls vs. pulse trawls). It is also used as an exploitable biomass index, without age information, to avoid the catch-at-age matrix being used twice. However, a scientific survey with higher catch rates for turbot and a better internal consistency would be preferable.

#### C. Assessment: data and method

The state–space model SAM (Nielsen and Berg, 2014) offers a flexible way of describing the entire system, with relative few model parameters. It allows for objective estimation of important variance parameters, leaving out the need for subjective *ad-hoc* adjustment, which is desirable when managing natural resources.

The total vector of model parameters for this model is:

 $\vartheta = \left( Q_{s=1,a=1-7+}, Q_{s=2,a=1-6+}, Q_{s=3}, \sigma_R^2, \sigma_{S,a=1,2+}^2, \sigma_{F,a=1,2,3-4,5-6,7-8+}^2, \sigma_{\circ,a=1,2,3+}^2, \sigma_{S=1,a=1,2+}^2, \sigma_{S=2,a=1,2+}^2, \rho \right)$ 

The *Q* parameters are catchabilities corresponding to the survey fleets (these parameters are survey- and age-specific for the SNS and BTS-ISIS, covering ages 1–7+, and a single value for the NL\_BT2 exploitable biomass index). The variance parameters  $\sigma_R^2$ ,

 $\sigma_{S,a=1,2+}^2$ , and  $\sigma_{F,a=}^2$  are process variances for recruitment, survival, and development in fishing mortality respectively (the survival separately for age 1 and 2+ and the fishing mortality separately for age 1 and 2, 3-4,5-6 and 7-8+. The remaining  $\sigma^2$  parameters are describing the variance of different observations divided into fleets and age classes. Finally  $\rho$  is the correlation parameter (among the ages) for the random walks on the fishing mortalities.

The WKNSEA benchmark introduced an extension to allow for varying correlation between different ages by setting the correlation of the log F annual increments to be a simple function of the age difference (AR(1) process over the ages). By doing this, individual log F processes will develop correlated in time, but in such a way that neighbouring age classes have more similar fishing mortalities than more distant ones. This correlation structure does not introduce additional parameters to the model, and is referred to below as an AR correlation structure (see Nielsen and Berg, 2014 for more details). This approach is used in the turbot assessment as well.

C.1. Model used

#### SAM

*Software used:* Source code and all scripts of the Interbenchmark are freely available at https://github.com/ices-eg/wg\_IBPTur.27.4 as well as full diagnostic plots. Stock = '**TUR-nsea**'

Model Options chosen:

A configuration file is used to set up the model run once the data files, in the usual Lowestoft format, have been prepared. During the 2018 Interbenchmark, the SAM model configuration for the turbot assessment was evaluated. Sensitivity runs were carried out with various combinations of parameter bindings. The initial binding was set to provide the model parameters the most possible freedom, followed by binding parameters together where needed when the AIC criteria indicated a more restrictive model was prefered. In total, 41 sensitivity runs were performed to find an optimum in number of free parameters versus model fit (AIC) and Mohn's rho (both being minimized). All outputs can be found at the <u>Github repository</u> of the 2018 Interbenchmark. The final SAM model configuration has the following form:

## SAM configuration file

# Min	Age							
1								
# Max	Age							
8								
# Max	Age con	sidered a	plus grou	p (0=No,	1=Yes)			
1								
				-	•	ng mortal	ity STATES	
# Row	v represen	t Catch, C	Columns re	epresent a	iges.			
1	2	3	4	5	6	7	7	
			walks for orrelation		5			
# (0 - 2	independ	iciii, 1 – C	Sirciation	commatee	<i>t, 2–1</i> 11(1)			
	nling of c	atchabili	ty PARAN	AFTERS	(Survove)			
							, Columns represe	ent ages
1	1	2	3	3	3	0	0	uges.
4	4	5	5	6	6	6	0	
7	0	0	0	0	0	0	0	
# Cout			model EX			0	C C	
(not us								
		ishing mo	ortality RV	<b>N</b> VARIA	NCES			
1	2	3	3	4	4	5	5	
# Coup	oling of lo	og N RW	VARIAN	CES				
1	2	2	2	2	2	2	2	
# Coup	oling of C	DBSERVA	TION VA	ARIANCE	ES			
	-					regated), (	Columns represen	t ages.
1	2	3	3	4	4	5	5	0
6	6	7	8	8	8	0	0	
9	9	9	10	11	11	11	0	
12	0	0	0	0	0	0	0	
# Coup	pling of S	URVEY (	CORRELA	TION CO	ORRECT	ION BY A	GE	
# Row	represen	t fleets (C	atch, SNS	, BTS, lpu	e age-agg	gregated),	Columns represe	nt corre-
lated a	iges.							
NA	NA	NA	NA	NA	NA	NA	NA	
1	1	1	1	1	NA	NA	NA	
NA	NA	NA	NA	NA	NA	NA	NA	
NA	NA	NA	NA	NA	NA	NA	NA	
# Stocl	k–recruitı	nent mod	lel code (0	=RW, 1=F	Ricker, 2=	BH, mo	re in time)	
0								
# Indi	cator for 1	LPUE tim	e series (l	piomass t	reatment)	(0 = SSB)	. 1 = catch, 2 = exp	oloitable
bioma	.ss)							
2								
			ata are to	be scaled	by an est	imated p	arameter	
	not scale							
	ne Fbar ra	nge						
2–6								

This configuration has the following features:

- The assessment time-series starts in 1981.
- The plus group of the stock assessment is set to 8+.
- Fishing mortality states are the same for ages 7+. There is no survey data for ages older than 8 and the catch numbers at these ages are low and highly variable (in part due to limited samples used in raising the data).
- The correlated random walks for the fishing mortalities was set at 2 (= correlation between ages but declines following a power function when distance between ages increases). This run provided the best AIC.
- The catchability of the two youngest and three oldest ages of the two agestructured surveys are linked. For the BTS catchabilities for ages 3 and 4 are linked as well.
- The variance on the fishing mortality random walks is estimated separately, linking ages three and four, five and six and the ages 7+. This was done to account for the likely impact on F for this ages.
- The variance on the log N random walk for age 1 is estimated separately.
- Compared to the 2017 Interbenchmark there is one additional parameter estimated for the catch and for SNS. Observation variance for the catch is estimated seperately for the young ages 1 and 2, linking ages three and four, five and six and the ages 7+. The observation variance for age 3 in the SNS and age 4 of BTS are estimated seperately, while the observation variances are linked for the younger ages, and for the oldest ages.
- LPUE time-series indicator: set to exploitable biomass
- No stock-recruit function is used in the model (recruit follows a random walk).
- No catch scaling is done.

Туре	Name	Year range	Age range	Variable from year to year Yes/No
Canum	Catch-at-age in numbers	1975–1978, 1981–1990, 1998, 2000–2002, 2004–now	1–10+	Yes
Weca	Weight-at-age in the commercial catch	1981–1990, 1998, 2000– 2002, 2004–now	1–10+	Yes – modelled values used in assessment
West	Weight-at-age of the spawning stock at spawning time.	1981–1990, 1998, 2000– 2002, 2004, 2007–now	1–10+	Yes – modelled values used in assessment
Mprop	Proportion of natural mortality before spawning	1975–now	1–10+	No, assumed 0
Fprop	Proportion of fishing mortality before spawning	1975–now	1–10+	No, assumed 0
Matprop	Proportion mature at age	1975–now	1–10+	No, assumed constant over years
Natmor	Natural mortality	1975–now	1–10+	No, assumed constant over ages and years

## Input data types and characteristics:

## Tuning data:

Туре	Name	Year range	Age range
Tuning fleet 1	SNS	2004–now	1–6
Tuning fleet 2	BTS ISIS	1991–now	1–7
Tuning fleet 3	NL Beam trawl fleet	1995–now	Exploitable biomass

## Assessment settings used in the final assessment:

Year	2018 (IBPTurbot proposal)		
Model	SAM		
First tuning year	1981		
Last data year	present		
Ages	1-8+		
Plus group	Yes		
Stock weights-at-age	von Bertalanffy growth curve with time varying Linf		
Catch weights-at-age	von Bertalanffy growth curve with time varying Linf		
Total Landings	Not used		
Landings-at-age	1981–1990, 1998, 2000–present		
Discards	Not used (assumed 0)		
Abundance indices	BTS-Isis 1991–present		
	SNS 2004-present		
	Standardized NL-BT2 lpue age-aggregated catchable biomass 1995–present		

The final assessment summary of Turbot in 4 is given below (Figure C.1). The assessment fits the Dutch LPUE and catch at ages 3 and 4 very well (fits not shown). The fit to other ages and survey data is markedly poorer. The estimates are all associated with low uncertainty which is likely due to smoothed stock and catch weights at age and fixed maturity-at-age data. Process error is generally low and does not show any trends. No estimated parameters show inappropriate correlation structures, which indicates that most parameters are estimated independently. The model converges easily and has no issues in running retrospective analyses. Furthermore, all parameters are estimated well and the uncertainty in the parameter estimates is low as well. Residual plots do not show systematic patterns in either positive or negative residuals.

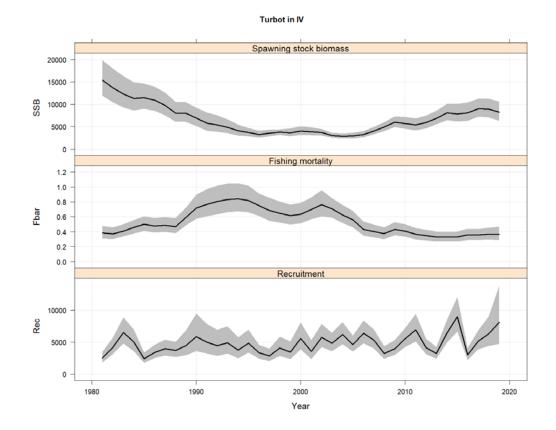


Figure C.1. Summary of the turbot in 4 assessment.

#### D. Reference points

Reference points were calculated using EqSim software and ICES guidelines, which was developed early 2018 by ICES to ensure a correct procedure in estimating reference points was followed. The script used to estimate reference points can be found at the <u>IBP Github page</u>.

The simulations were executed with the entire time-series of Stock Recruitement (SR) pairs. These includes the most recent estimate of recruitment, given that the SNS is a

dedicated survey on juvenile flatfish in the coastal areas, and is hence expected to provide accurate estimates of recruitment. In the period 1981–1986, the productivity of the stock was markedly lower than in more recent years, but these years were included as it provided overall better fits to the stock-recruitment models. Although productivity (in recruit per spawner) has gone down in recent years, we do not assume the stock to have a lower productivity potential. The trends in R/SSB mainly show a strong negative density-dependent effect of SSB on recruitment success.

Simulations were run with 200 iterations and applying a mixture of two SR-models, namely Segmented Regression and Ricker (sampling from 2000 fits) (Figure D.1). The fit to the Beverton-Holt SRR showed no decline towards the origin. Weight-at-age and selectivity at-age do show some trend in the past decade and hence the average over the 5 recent years were used in the simulations (excluding the most recent year), similar to the default settings. The cv on F, phi on F and cv on SSB were taken as the default values being in conformity with the WKMSYREF IV report (cv of F being 0.212 and phi F being 0.428, cv of SSB was set to 0; ICES 2015).

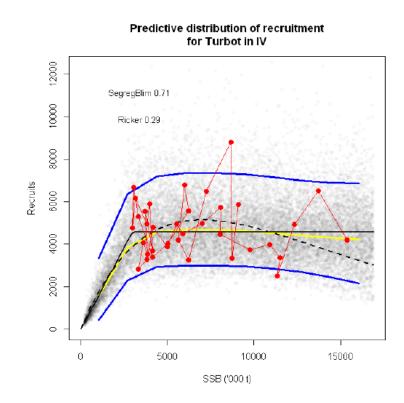


Figure D.1. Fitted combinations of stock recruitement fits to the SR-couples of turbot.

 $B_{lim}$  was set at  $B_{loss}$  since there are no indications that the stock has encountered impaired recruitment in the time-series. At very similar SSBs, the stock has produced among the highest and lowest year-classes which shows that there is no distinct SSB ~R relationship. This is also true for SSBs near the lower end of its distribution, where the breakpoint of the segmented regression is estimated. No auto-correlation in recruitment was detected.  $B_{pa}$  was derived multiplying  $B_{lim}$  with exponent of sigmaSSB × 1.645.

 $F_{lim}$  was derived from  $B_{lim}$  by simulating the stock with segmented regression SR function with the point of inflection at  $B_{lim}$ .  $F_{lim}$  = the F that, in equilibrium, gives a 50% probability of SSB >  $B_{lim}$ .

MSY B<sub>trigger</sub> was set to 0, Fcv, Fphi, SSBcv were set to 0 and rhoRec was set to FALSE.  $F_{Pa}$  was derived multiplying  $F_{lim}$  with the exponent of -sigmaF × 1.645. Both sigmaF and sigmaSSB were set to the default values of 0.2045 (resulting in a multiplication factor of ~1.4 for B<sub>lim</sub> and F<sub>lim</sub> to derive B<sub>Pa</sub> and F<sub>Pa</sub>).

The initial FMSY was calculated including stochasticity in the population and exploitation as well as assessment/advice error following WKLIFE IV with default values of 0.212 and 0.423 for Fcv and Fphi respectively. From this run, also FMSY upper and FMSY lower were obtained. MSY Btrigger was set to zero while Blim and Bpa were included. Since FMSY was lower than Fpa, FMSY was taken as the point estimate from the simulation.

MSY B<sub>trigger</sub> was taken as the 5th percentile of SSB at MSY which was higher than B<sub>pa</sub>. Given that the stock has been fished at or below F<sub>MSY</sub> since 2012, and no MSY B<sub>trigger</sub> value was defined before, MSY B<sub>trigger</sub> was set at this 5th percentile.

Finally,  $F_{P.05}$  was evaluated using the MSY  $B_{trigger}$  estimate from the previous analysis. This value (0.86) was higher than  $F_{MSY upper}$  (0.48) so a modification of the  $F_{MSY}$  range was not needed. However, in the 2019 North Sea Working Group it was decided to replace Fpa with  $F_{P.05}$  calculated without the Advice Rule, i.e without using the MSY Btrigger estimate. This  $F_{P.05}$  is the value of F, including modification with biomass criteria that, if applied as target in the advice rule would lead to SSB  $\geq$  B<sub>lim</sub> with a 95% probability.  $F_{P.05}$  provides an upper F limit that is considered precautionary for management plans and MSY rules.

1	REFERENCE POINT	ESTIMATE
1.	MSY B <sub>trigger</sub>	6353
2.	B <sub>pa</sub>	4163
3.	Blim	2974
4.	$F_{pa} = F_{p.05}$ (without AR)	0.47
5.	Flim	0.61
6.	Fmsy	0.36
7.	FMSY lower	0.25
8.	FMSY upper	0.48

The table below shows the estimated reference points using the final IBP 2018 assessment.

#### E. short term forecast

It was decided to use the FLR Flash package using fwd-routines for short term forecasts. Terminal year estimates from the SAM assessment were used as starting conditions. Since there is no clear relationship between SSB and Recruitment, it was decided to assume recruitment to follow a geometric mean for the entire time-series, including the latest estimate. An analyses on bias and uncertainty in recruitment prediction was undertaken to justify this decision. Figure E.1 below shows the bias and uncertainty in recruitment prediction when 3, 5, 10, 15 or 20 historic years were used in predicting recruitment. An extra scenario including the entire time-series was evaluated as well. This analyses showed that the absolute deviation when using the entire time-series was lowest, as well as the bias over the years.

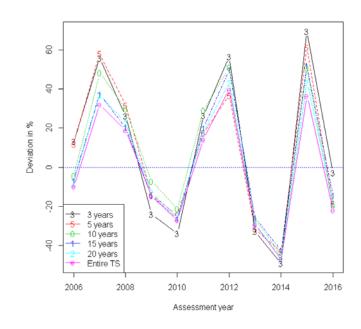


Figure E.1 Bias and deviation in recruitement prediction based on different assumptions on the number of historic years to be included in the geometric mean recruitement prediction.

Since stock and catch weights-at-age are modelled, we assume in the forecast that weights are identical to the weights used in the final assessment year. As such, we do not introduce a break in the smoothness of the weight-at-age time-series. Maturity at age and time of spawning are fixed over time, and these values are used in the forecast. Selectivity-at-age has minimal trend in recent years, but has changed in the past decade. Hence, a 3-year average was used for future years in the simulations.

TACs for Turbot are agreed upon in combination with Brill. The proportion of Turbot landings out of this combined TAC was calculated and fluctuated around 65% without a clear trend, but with substantial variation. However, the TAC has in recent years never been exhausted, and therefore using a % TAC was deemed inappropriate. Hence, the assumption for the intermediate year was made to not use a catch constraint, but a status-quo F instead.

## F. Other issues

After screening all available input data and work conducted during 2017 the Interbench-mark, the tur.27.4-assessment still has to be based on input data derived from limited sampling:

- Only an incomplete catch-at-age matrix is available that needs to be reconstructed by modelling for the years 1991 to 1997 and 1999 to 2001. Age information for recent years is mainly available only from the Dutch fishing fleets representing more than 50% of total landings. Data from Denmark were available for the four most recent years. Danish data demonstrated a shift towards older fish compared to the Dutch data. This may also be true for other countries, but no information is available. Although the inclusion of Danish data impacted the overall catch-at-age matrix only to a minor extent, this highlights the need to get catch-at-age data not only from the Netherlands, but also from other countries. Also, retrospective data would be highly beneficial, if available.
- The sampled mean weight-at-age matrix cannot be used directly because of toolow sampling intensities and gaps in time-series. A smoothing method is applied; since this analysis is updated each time new data is added, this leads to an additional source of retrospective bias in biomass estimates.
- The available scientific surveys have a low internal consistency, especially for older ages, leading to a poor ability to track cohorts over time. Because of this, the assessment is strongly influenced by a Dutch lpue index. This index has been standardized for changes in fishing areas and gears used (i.e. traditional beam trawls vs. pulse trawls). It is also used as an exploitable biomass index without age information, to avoid the catch-at-age matrix being used twice. However, a scientific survey with higher catch rates for turbot and a better internal consistency would be preferable.

Potential improvements which can be addressed in the next few years are:

- A UK lpue index was tested in the 2017 Interbenchmark, but inconsistencies in the calculation procedures became obvious. In general, it would be beneficial to combine the Dutch lpue with lpue indices from other countries to derive an overall standardized lpue index. Obtaining standardised Belgian, UK and Danish lpue data for use in the assessment model should be investigated.
- The Dutch lpue data series receives a high weight in the assessment (higher than any other data source, and much higher than the survey indices of abundance); this weighting is, arguably, unrealistically high. The Dutch lpue data are standard-ised by applying a statistical model that includes interactions in space, time and gear, and it may be possible to extract CVs associated with the estimates from this model. It is recommended that the use of such CVs in the SAM assessment be investigated to better deal with the weighting of the lpue data series.
- There is a possibility of excluding age 1–2 from the Dutch lpue data. A first trial reduced the problematic retrospective pattern in F. However, currently this would mean a considerable shortening of the time-series of the lpue index becuase disaggregated data to distinguish market categories/ages were not available before 2002 for the 2017 Interbenchmark. Work on providing such data further back in time could be beneficial for the assessment.
- The Dutch lpue data series (an aggregated biomass index) is associated with 60– 70% of the total catch for turbot, but the current SAM assessment uses the selectivity estimated for the total catch to build an exploitable biomass estimate used to fit the Dutch lpue data. This is not entirely representative and likely introduces some model misspecification. There is a fleet-based version of SAM that, given fleet-

based data, could be used to deal with this problem. It is therefore recommended that the use of such fleet-based data and a fleet-based SAM version be investigated to provide a more appropriate fit to the Dutch LPUE data.

- Currently, scientific surveys show relatively poor performance (due to low catch rates) in assessments of large flatfish. A new standardised survey with higher catch rates for large flatfish should be developed to improve assessments for these species.
- A delta GAM index combining different BTS surveys was tested. Currently, such an index could not improve the assessment. However, age information in DATRAS was not available for the whole time-series, and errors seem to have occurred during the upload of additional data. Once the whole time-series of age information is available, a detailed analysis of delta GAM indices with various settings may be carried out.
- There is little knowledge of the natural mortality of this stock. For other flatfish species, we have natural mortality estimates that are empirically derived from the ceasing of fishing during WWII. Using the statistical relationship as estimated by Gislason *et al.* (2010), we derived estimates for natural mortality that are higher than those for sole and plaice. The reason for these high estimates are the high von Bertalanffy *K* and  $L_{\infty}$ . The benchmark group then decided to use M = 0.2 per year, as is used for many other fish in the ICES areas. Further exploration of *M* for turbot would improve the appropriateness of the ICES advice that will result from using the assessment.
- At present, the EU provides a combined TAC for turbot and brill in the North Sea. This TAC seems largely ineffective in reducing F: increases in the stock at similar TACs lead to increased discarding. In addition, it is unclear how the quantitative single species advice for turbot and the qualitative single species advice for brill can/will be used to formulate a combined TAC for these two stocks. In this situation, improving the brill assessment may be necessary in order to ensure efficient management of both of these stocks. Ideally, a combined TAC should be avoided.

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