## Sprat (Sprattus sprattus) in Division 3.a (Skagerrak and Kattegat)

## ICES stock advice

ICES advises that when the precautionary approach is applied, catches from 1 July 2017 to 30 June 2018 should be no more than 6255 tonnes.

## Stock development over time

The abundance index has been fluctuating without trend over the time-series with high interannual variability. The stock abundance index in 2017 is $27 \%$ lower than the average of the four preceding years.


Figure 1 Sprat in Division 3.a. Summary of the stock assessment. The index of the stock size is derived from the combination of three survey indices: the herring acoustic survey (HERAS) in June-July of the previous year, the third quarter International Bottom Trawl Survey (IBTS) of the previous year, and the first quarter IBTS in the current year. The dashed horizontal line indicates the average of the four years (2013-2016) preceding 2017.

## Stock and exploitation status

Table 1 Sprat in Division 3.a. State of the stock and fishery relative to reference points.

|  | Fishing pressure |  |  |  |  |  | Stock size |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 2014 | 2015 |  | 2016 |  |  | 2015 | 2016 |  | 2017 |
| Maximum sustainable yield |  | ? | ? | ? | Undefined |  | MSY $\mathrm{B}_{\text {trigger }}$ | ? | ? | ? | Undefined |
| Precautionary approach | $F_{p a}, F_{l i m}$ | ? | 3 | ? | Undefined |  | $\mathrm{B}_{\mathrm{pa}}, \mathrm{B}_{\text {lim }}$ | ? | (?) | ? | Undefined |
| Management plan | $\mathrm{F}_{\text {MGT }}$ | - | - |  | Not applicable |  | $S^{\text {S }}{ }_{\text {MGT }}$ | - | - | - | Not applicable |
| Qualitative evaluation | - | ? | ? |  | Unknown |  | Qualitative evaluation | (1) | (1) | (4) | Decreasing |

## Catch options

The ICES framework for category 3 stocks was applied (ICES, 2012). The index of stock size is derived from the combination of three surveys: the herring acoustic survey (HERAS) in June-July, the third quarter International Bottom Trawl Survey (IBTSQ3), and the first quarter IBTS (IBTSQ1) in the following year, and it is applied as the index of stock development. The advice is based on a comparison of the latest index value (index A) with the four preceding values (index B), multiplied by the recent advised catch. The index is estimated to have decreased by more than $20 \%$ and thus the uncertainty cap was applied. ICES considers that a precautionary reduction of catches should be implemented every three years unless there is ancillary information clearly indicating that the current level of exploitation is appropriate for the stock. The precautionary buffer was last applied in 2012.

Because there is no evidence that the stock has continuously increased over a period of several years, or that fishing pressure on the stock is low or has been reduced significantly, the precautionary buffer was applied again in 2017.

Table 2 Sprat in Division 3.a. The basis for the catch options.

| Index A (2017) |  | 0.679 (unitless) |
| :--- | :--- | ---: |
| Index B (2013-2016) |  | 0.933 (unitless) |
| Index ratio (A/B) | Applied | 0.73 |
| Uncertainty cap |  | 0.8 |
| Advised catch for 1 July 2016 to 30 June 2017 |  | 9773 tonnes |
| Discard rate | Applied | Negligible |
| Precautionary buffer |  | 0.8 |
| Catch advice* |  | 6255 tonnes |

* advice for $2016 \times$ uncertainty cap $\times$ precautionary buffer.


## Basis of the advice

Table 3 Sprat in Division 3.a. The basis of the advice.

| Advice basis | Precautionary approach |
| :--- | :--- |
| Management plan | There is no management plan for sprat in this area. |

## Quality of the assessment

The advice is based on a combined abundance index from three surveys, used as an indicator of stock size. The uncertainty associated with the index values is not available. There are concerns related to the accuracy of these abundance indices as analyses show that the survey may not cover the entire stock, but the current assessment is considered to reflect stock trends.

## Issues relevant for the advice

ICES provides catch advice for the period July to June in the following year. The advice given for this stock since 2012 has not been implemented and catches have frequently exceeded ICES advice.

Under the EU landing obligation, which entered into force in 2015, up to $9 \%$ interspecies quota transfers are allowed for stocks that are considered to be within safe biological limits (see Article 15 of EU, 2013). Potential quota transfers were not considered in this catch advice. The bycatch of sprat under the other species' quotas (e.g. herring) under this regulation may result in overexploitation of sprat in Division 3.a. To achieve Fmsy exploitation, any transfer under this regulation should be accounted for in setting the TAC.

## Reference points

There are no reference points for this stock.

## Basis of the assessment

Table 5 Sprat in Division 3.a. Basis of assessment and advice.

| ICES stock data category | 3 (ICES, 2016a) |
| :--- | :--- |
| Assessment type | Survey trends (ICES, 2017) |
| Input data | Commercial catches (international landings), surveys (1st and 3rd quarters IBTS, HERAS). The index is a <br> weighted average of the three surveys (ICES, 2015). |
| Discards and bycatch | Discards are not included. Discards are known to have taken place but are now considered negligible. |
| Indicators | None |
| Other information | Benchmarked in WKSPRAT (ICES, 2013a). For this short-lived stock, in-year (July-June) advice is given. |
| Working group | Herring Assessment Working Group for the Area South of $62^{\circ} \mathrm{N} \mathrm{(HAWG)}$ |

## Information from stakeholders

There is no available information.

## History of the advice, catch, and management

Table 6 Sprat in Division 3.a. ICES advice and official landings. All weights are in tonnes. Values of official landings for the period 1987-2015 are presented to the nearest thousand tonnes.

| Year | ICES advice | Predicted catch corresponding to advice | Agreed TAC* | Official landings** | ICES landings |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1987 | - | - | 80000 | 68000 | 14000 |
| 1988 | TAC for "mixed clupeoid" fishery | 80000 | 80000 | 63000 | 9000 |
| 1989 | Lowest possible level; TAC for "mixed clupeoid" fishery | 80000 | 80000 | 62000 | 10000 |
| 1990 | Lowest possible level; TAC for "mixed clupeoid" fishery | 60000 | 65000 | 43000 | 10000 |
| 1991 | Lowest possible level; Zero TAC for "mixed clupeoid" fishery | - | 50000 | 44000 | 14000 |
| 1992 | No advice for sprat; Zero TAC for "mixed clupeoid" fishery | - | 50000 | 40000 | 11000 |
| 1993 | No advice for sprat | - | 45000 | 36000 | 9000 |
| 1994 | Separate sprat TAC based on recent catches | 10000-14000 | 43000 | 67000 | 96000 |
| 1995 | Separate sprat TAC based on recent catches | 9000-14000 | 43000 | 45000 | 56000 |
| 1996 | No advice | - | 43000 | 28000 | 18000 |
| 1997 | Reduce by-catch of herring | - | 40000 | 19000 | 15800 |
| 1998 | Limited by restriction on juvenile herring catches | - | 40000 | 26000 | 18400 |
| 1999 | Limited by restriction on juvenile herring catches | - | 50000 | 33000 | 26700 |
| 2000 | Limited by restriction on juvenile herring catches | - | 50000 | 28000 | 20100 |
| 2001 | Limited by restriction on juvenile herring catches | - | 50000 | 43000 | 29107 |
| 2002 | Limited by restriction on juvenile herring catches | - | 50000 | 31000 | 17703 |
| 2003 | Limited by restriction on juvenile herring catches | - | 50000 | 33000 | 16479 |
| 2004 | Limited by restriction on juvenile herring catches | - | 50000 | 32000 | 21996 |
| 2005 | Limited by restriction on juvenile herring catches | - | 50000 | 48000 | 40296 |
| 2006 | Limited by restriction on juvenile herring catches | - | 52000 | 23000 | 12507 |
| 2007 | Limited by restriction on juvenile herring catches | - | 52000 | 21000 | 15706 |
| 2008 | Limited by restriction on juvenile herring catches | - | 52000 | 12000 | 9123 |
| 2009 | Same advice as last year | - | 52000 | 13000 | 9200 |
| 2010 | Same advice as last year | - | 52000 | 13000 | 10706 |
| 2011 | No advice *** | - | 52000 | 13000 | 10731 |
| 2012 | 20\% Reduction in catches (last 3 years average) *** | < 8200 | 52000 | 14000 | 10417 |
| 2013\# | Reduce catch by at least 36\% compared to the average catch of the last three years | < 6787 | 41600 | 9000 | 3900 |
| 2014\# | Wanted catch at the level of the 2013 advice \#\# | $<6787$ | 33280 | 24000 | 18537 |
| 2015\# | Precautionary approach (20\% more than recent advice) \#\# | < 8144 | 33.280 | 20000 | 13276 |
| 2016\# | Precautionary approach (20\% more than recent advice) \#\# | $\leq 9773$ | 33280 | 11031 | 8204 |
| 2017\# | Precautionary approach (36\% less than recent advice) | $\leq 6255$ | 33280 |  |  |

* TAC applies to all species in "mixed clupeoid" catches for the period 1988-1997
** Includes other species in "mixed clupeoid" catches.
*** Limited by restriction on juvenile herring catches.
\# Advice for the period 1 July to 30 June.
\#\# The term "wanted catch" is used to describe fish that would be landed in the absence of the EU landing obligation. Note that even though the EU landing obligation was in effect in 2016, discards are unknown for this fishery; therefore, advice is for wanted catch.


## History of the catch and landings

Table $7 \quad$ Sprat in Division 3.a. Catch distribution by fleet in 2016 as estimated by ICES.

| Catch (2016) | Landings |  | Discards |  |
| :---: | :---: | :---: | :---: | :---: |
| 8204 tonnes | Trawl 96\% | Purse seine 4\% | negligible |  |
|  |  | 8204 tonnes |  |  |

Table 8 Sprat in Division 3.a. History of commercial catch 1996-2016 as estimated by ICES. These figures do not in all cases correspond to the official statistics and cannot be used for management purposes. Catch data prior to 1996 are not presented as they are considered less reliable because of mixed clupeoid catches. With the implementation of an improved monitoring scheme in 1996, catch data after 1996 are considered reliable. Catches are in tonnes from 2001. From 1996 to 2000 the figures are presented to the nearest 100 tonnes.

| Year | Skagerrak |  |  |  |  |  | Kattegat |  |  | Div. 3.a total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Denmark | Sweden | Norway | Germany | Faroe Islands | Total | Denmark | Sweden | Total |  |
| 1996 | 7000 | 3500 | 1000 |  |  | 11500 | 3400 | 3100 | 6500 | 18000 |
| 1997 | 7000 | 3100 | 400 |  |  | 10500 | 4600 | 700 | 5300 | 15800 |
| 1998 | 3900 | 5200 | 1000 |  |  | 10100 | 7300 | 1000 | 8300 | 18400 |
| 1999 | 6800 | 6400 | 200 |  |  | 13400 | 10400 | 2900 | 13300 | 26700 |
| 2000 | 5100 | 4300 | 900 |  |  | 10300 | 7700 | 2100 | 9800 | 20100 |
| 2001 | 5209 | 4546 | 1398 |  |  | 11153 | 14945 | 3009 | 17954 | 29107 |
| 2002 | 3507 | 2829 | 13 |  |  | 6349 | 9939 | 1428 | 11367 | 17703 |
| 2003 | 2301 | 2442 | 836 |  |  | 5579 | 7882 | 3058 | 10940 | 16479 |
| 2004 | 6207 | 4451 | 1100 |  |  | 11758 | 8200 | 2038 | 10238 | 21996 |
| 2005 | 12074 | 5674 | 712 |  |  | 18460 | 19782 | 2054 | 21836 | 40296 |
| 2006 | 1217 | 2771 | 303 |  |  | 4291 | 6602 | 1614 | 8216 | 12507 |
| 2007 | 1438 | 2835 | 1642 |  |  | 5915 | 8466 | 1325 | 9791 | 15706 |
| 2008 | 254 | 1500 | 894 |  |  | 2648 | 5575 | 900 | 6475 | 9123 |
| 2009 | 1103 | 1431 | 672 |  |  | 3206 | 5786 | 216 | 6002 | 9208 |
| 2010 | 3369 | 1165 | 913 |  |  | 5447 | 5022 | 237 | 5259 | 10706 |
| 2011 | 3494 | 1758 | 708 |  |  | 5960 | 4499 | 272 | 4771 | 10731 |
| 2012 | 1742 | 1252 | 481 |  |  | 3476 | 6692 | 249 | 6941 | 10417 |
| 2013 | 263 | 660 | 859 |  |  | 1782 | 1599 | 371 | 1970 | 3752 |
| 2014 | 12002 | 1050 | 257 | 47 |  | 13356 | 4746 | 482 | 5228 | 18584 |
| 2015 | 7465 | 950 | 298 |  |  | 8712 | 4192 | 371 | 4563 | 13276 |
| 2016 | 3255 | 768 | 346 |  | 15 | 4369 | 3482 | 338 | 3820 | 8204 |

Summary of the assessment

Table $9 \quad$ Sprat in Division 3.a. Assessment summary. Weights are in tonnes.

| Year | Stock size index* | IBTS Q1 Age 1 | IBTS Q1 Age 2 | IBTS Q3 <br> Age 1 | HERAS Age 1 | IBTS Q1 <br> Age 1 anomaly | IBTS Q1 Age 2 anomaly | IBTS Q3 Age 1 anomaly | HERAS Age <br> 1 anomaly | ICES estimated landings |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (unitless) |  |  |  |  |  |  |  |  | (tonnes) |
| 1974 |  |  |  |  |  |  |  |  |  | 71300 |
| 1975 |  |  |  |  |  |  |  |  |  | 100600 |
| 1976 |  |  |  |  |  |  |  |  |  | 58800 |
| 1977 |  |  |  |  |  |  |  |  |  | 67400 |
| 1978 |  |  |  |  |  |  |  |  |  | 77900 |
| 1979 |  |  |  |  |  |  |  |  |  | 95600 |
| 1980 |  |  |  |  |  |  |  |  |  | 83900 |
| 1981 |  |  |  |  |  |  |  |  |  | 76300 |
| 1982 |  |  |  |  |  |  |  |  |  | 39600 |
| 1983 |  |  |  |  |  |  |  |  |  | 26400 |
| 1984 | 3.012803859 | 5675.453 | 868.877 |  |  | 3.481833 | 0.50094 |  |  | 36100 |
| 1985 | 1.336368124 | 2157.763 | 2347.024 |  |  | 1.323766 | 1.353147 |  |  | 19700 |
| 1986 | 0.945339608 | 628.636 | 1979.238 |  |  | 0.385662 | 1.141104 |  |  | 10800 |
| 1987 | 1.675636929 | 2735.918 | 2845.931 |  |  | 1.678458 | 1.640785 |  |  | 14400 |
| 1988 | 2.067308139 | 914.468 | 5262.547 |  |  | 0.561017 | 3.034054 |  |  | 8700 |
| 1989 | 0.364561201 | 413.943 | 911.276 |  |  | 0.25395 | 0.525385 |  |  | 9800 |
| 1990 | 0.200949234 | 481.022 | 223.894 |  |  | 0.295102 | 0.129083 |  |  | 9700 |
| 1991 | 0.349878787 | 492.498 | 726.822 | 493.724 |  | 0.302143 | 0.41904 |  |  | 14000 |
| 1992 | 2.835941459 | 5993.636 | 598.711 | 1731.961 |  | 3.677035 | 0.345179 | 0.088335 |  | 10500 |
| 1993 | 1.195691462 | 1589.922 | 4168.612 | 309.005 |  | 0.975401 | 2.40336 | 0.309876 |  | 9100 |
| 1994 | 1.069870064 | 1788.861 | 715.839 | 9945.215 |  | 1.097448 | 0.412708 | 0.055286 |  | 96000 |
| 1995 | 1.369317488 | 2204.074 | 1769.532 | 13295.42 |  | 1.352177 | 1.020201 | 1.779362 |  | 55600 |
| 1996 | 2.292181715 | 199.3 | 5515.416 | 130.748 |  | 0.122269 | 3.179843 | 2.378769 |  | 18000 |
| 1997 | 0.138754202 | 232.654 | 391.234 | 437.176 |  | 0.142731 | 0.225561 | 0.023393 |  | 15800 |
| 1998 | 0.291004183 | 72.245 | 1585.222 | 62.82 |  | 0.044322 | 0.91394 | 0.078218 |  | 18400 |
| 1999 | 2.491516178 | 4534.96 | 355.242 | 8082.645 |  | 2.782152 | 0.20481 | 0.01124 |  | 26700 |
| 2000 | 0.550158091 | 292.316 | 737.798 |  |  | 0.179333 | 0.425368 | 1.446118 |  | 20100 |
| 2001 | 3.662731985 | 6539.478 | 1144.344 | 8501.662 |  | 4.011903 | 0.659757 |  |  | 29100 |
| 2002 | 0.871210341 | 1180.52 | 1035.707 | 3568.48 |  | 0.724237 | 0.597124 | 1.521087 |  | 17700 |
| 2003 | 0.567691974 | 461.659 | 1247.151 | 444.802 |  | 0.283223 | 0.719029 | 0.63846 |  | 16500 |
| 2004 | 0.23039108 | 402.87 | 49.002 | 7388.165 |  | 0.247157 | 0.028251 | 0.079582 |  | 22000 |
| 2005 | 1.942497437 | 3314.171 | 1563.164 | 12817.78 |  | 2.03321 | 0.901222 | 1.321864 |  | 40300 |
| 2006 | 3.656566232 | 1323.589 | 11855.76 | 849.819 | 61.3 | 0.812008 | 6.835288 | 2.29331 |  | 12500 |
| 2007 | 0.443303371 | 774.11 | 306.631 | 10899.96 | 5611.9 | 0.474909 | 0.176784 | 0.152047 | 0.073477 | 15700 |
| 2008 | 1.898667338 | 150.598 | 981.9 | 809.366 | 23 | 0.09239 | 0.566102 | 1.950181 | 6.728182 | 9100 |
| 2009 | 1.509864622 | 2686.719 | 124.463 | 3258.747 | 169.5 | 1.648275 | 0.071758 | 0.144809 | 0.027575 | 9200 |
| 2010 | 0.291952886 | 218.659 | 618.486 | 2335.444 | 836.1 | 0.134145 | 0.35658 | 0.583043 | 0.203216 | 10700 |
| 2011 | 0.346563335 | 135.548 | 2887.269 | 1413.118 | 45.4 | 0.083157 | 1.664618 | 0.417849 | 1.002411 | 10700 |
| 2012 | 0.310450607 | 209.485 | 1531.549 | 832.37 | 123.9 | 0.128517 | 0.882995 | 0.25283 | 0.054431 | 10416 |
| 2013 | 0.171016792 | 301.264 | 237.338 | 356.27 | 14.5 | 0.184822 | 0.136834 | 0.148925 | 0.148545 | 3900 |
| 2014 | 0.300242628 | 518.178 | 229.093 | 30111.5 | 614.5 | 0.317897 | 0.132081 | 0.063743 | 0.017384 | 18537 |
| 2015 | 0.785240345 | 957.727 | 206.943 | 16064.67 | 840.8 | 0.587556 | 0.11931 | 5.387441 | 0.736732 | 13276 |
| 2016 | 2.469419966 | 4208.379 | 2216.262 | 5034.654 | 5.4 | 2.581798 | 1.277758 | 2.874233 | 1.008046 | 8204 |
| 2017 | 0.679100462 | 1102.765 | 752.58 |  |  | 0.711716073 | 0.43769 |  |  |  |

* Calculated from the age 1 indicator (IBTS Q1 age 1) and the age 2 indicator (IBTS Q3 age 2, and IBTS Q3 age 1 and HERAS age 1 in the previous year), taking into account the relative proportions of age 1 and age 2 in the sprat commercial catches (ICES, 2016b).


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