### 6.3.50 Sprat (Sprattus sprattus) in Division 3.a (Skagerrak and Kattegat)

## ICES stock advice

ICES advises that when the precautionary approach is applied, wanted catches from July 2016 through June 2017 should be no more than 9773 tonnes. ICES cannot quantify the corresponding total catches.

It should be noted that this advice is an in-year advice for the second half of 2016 and first half of 2017 and should not be applied for the calendar year 2017.

## Stock development over time

The survey biomass index is higher in 2016 than the long-term average; the large magnitude of the increase is confirmed by all three available surveys. The exploitation status of the stock is unknown.



Figure 6.3.50.1 Sprat in Division 3.a. ICES estimates of landings (in thousand tonnes, left panel). The index of stock size is derived from the combination of three survey indices: the herring acoustic survey (HERAS) in June-July, the third quarter International Bottom Trawl Survey (IBTS), and the first quarter IBTS in the following year. The dashed horizontal lines indicate the average of the respective years.

## Stock and exploitation status

Table 6.3.50.1 Sprat in Division 3.a. State of the stock and fishery, relative to reference points.

|  | Fishing pressure |  |  |  |  | Stock size |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 2013 | 2014 |  | 2015 |  | 2014 | 2015 |  | 2016 |
| Maximum Sustainable Yield | $\mathrm{F}_{\text {MSY }}$ | ? | ? | ? | Undefined | MSY $\mathrm{B}_{\text {trigger }}$ | ? | ? | ? | Undefined |
| Precautionary approach | $\begin{aligned} & \mathrm{F}_{\mathrm{pa}}, \\ & \mathrm{~F}_{\mathrm{lim}} \end{aligned}$ | $?$ | ? |  | Undefined | $\mathrm{B}_{\mathrm{pa}}, \mathrm{B}_{\text {lim }}$ | ? | (?) | ? | Undefined |
| Management plan | $\mathrm{F}_{\text {MGT }}$ | - | - |  | Not applicable | SSB ${ }_{\text {MGT }}$ | - | - | - | Not applicable |
| Qualitative evaluation | - | $?$ | ? |  | Unknown | - | (1) | (1) | (1) | Increasing |

## Catch options

The ICES framework for category 3 stocks was applied (ICES, 2012). The index of stock size is derived from the combination of three surveys: the herring acoustic survey (HERAS) in June-July, the third quarter International Bottom Trawl Survey (IBTS), and the first quarter IBTS in the following year, and it is applied as the index of stock development. The advice is based on a comparison of the latest index value (index A) with the four preceding values (index B), multiplied by the recent advised
catch. The index is estimated to have increased by more than $20 \%$ and thus the uncertainty cap was applied. The precautionary buffer was not applied because: (1) the index ratio has increased by more than $50 \%$, and (2) it was applied previously (ICES, 2013a).

Table 6.3.50.2 Sprat in Division 3.a. For stocks in ICES categories 3-6, one catch option is possible.


* (recent advice x cap)


## Basis of the advice

Table 6.3.50.3 Sprat in Division 3.a. The basis of the advice.

| Advice basis | Precautionary approach |
| :--- | :--- |
| Management plan | There is no management plan for sprat in this area. |

## Quality of the assessment

The advice is based on a combined abundance index from three surveys, used as an indicator of stock size. The uncertainty associated with the index values is not available. There are concerns related to the accuracy of these abundance indices as analyses show that the survey may not cover the entire stock. As sprat has a very patchy distribution, the sampling in the surveys may not be appropriate.

## Issues relevant for the advice

In recent years, the advice given for this stock has not been implemented and landings in 2015 exceeded the ICES catch advice by a factor of 1.6.

ICES provides catch advice for the period July to June in the following year. The TAC at present corresponds to the calendar year (January to December). The advice and the TAC year should be consistent.

In 2015 the sprat catch potential was limited as the fishery was closed in accordance to the regulation of the herring bycatch quota in the $1^{\text {st }}$ quarter.

Under the EU landing obligation, which entered into force in 2015, up to $9 \%$ inter-species quota transfers are allowed for stocks that are considered to be within safe biological limits (see Article 15 of EU, 2013). In 2015, inter-species quota transfer were not made by the industry (ICES, 2016a). Potential quota transfers in 2016 were not considered in this catch advice. The catch of sprat under the other species' quotas (e.g. herring) under this regulation may result in a risk of overexploitation of sprat in Division 3.a. To achieve Fmsy exploitation, any transfer under this regulation should be accounted for in setting the TAC.

In 2016, the EU landing obligation is in effect but discards are unknown for this fishery; therefore, advice is for wanted catch.

## Reference points

No reference points are defined for this stock.

## Basis of the assessment

Table 6.3.50.4 Sprat in Division 3.a. The basis of the assessment.

| ICES stock data category | 3 (ICES, 2016b) |
| :--- | :--- |
| Assessment type | Survey trends (ICES, 2016a) |
| Input data | Commercial catches (international landings), surveys (1st and 3rd Quarter IBTS, HERAS). |
| Discards and bycatch | Discards are not included. Discards are known to have taken place but are not quantified. |
| Indicators | None |
| Other information | Benchmarked in WKSPRAT (ICES, 2013b). For this short-lived stock, in year (July - June) advice is given. |
| Working group | Herring Assessment Working Group for the Area South of 62N (HAWG) |

## Information from stakeholders

There is no available information.

## History of advice, catch and management

Table 6.3.50.5 Sprat in Division 3.a. History of ICES advice, the agreed TAC, official and ICES estimates of landings. All weights are in thousand tonnes.

| Year | ICES Advice | Predicted catch corresponding to advice | Agreed <br> TAC* | Official Landings** | ICES landings |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1987 | - |  | 80 | 68 | 14 |
| 1988 | TAC for "mixed clupeoid" fishery | 801 | 80 | 63 | 9 |
| 1989 | Lowest possible level; TAC for "mixed clupeoid" fishery | 801 | 80 | 62 | 10 |
| 1990 | Lowest possible level; TAC for "mixed clupeoid" fishery | 601 | 65 | 43 | 10 |
| 1991 | Lowest possible level; Zero TAC for "mixed clupeoid" fishery | - | 50 | 44 | 14 |
| 1992 | No advice for sprat; Zero TAC for "mixed clupeoid" fishery | - | 50 | 40 | 11 |
| 1993 | No advice for sprat |  | 45 | 36 | 9 |
| 1994 | Separate sprat TAC based on recent catches | 10-14 | 43 | 67 | 96 |
| 1995 | Separate sprat TAC based on recent catches | 9-14 | 43 | 45 | 56 |
| 1996 | No advice | - | 43 | 28 | 18 |
| 1997 | Reduce by-catch of herring | - | 40 | 19 | 16 |
| 1998 | Limited by restriction on juvenile herring catches |  | 40 | 26 | 18 |
| 1999 | Limited by restriction on juvenile herring catches | - | 50 | 33 | 27 |
| 2000 | Limited by restriction on juvenile herring catches | - | 50 | 28 | 20 |
| 2001 | Limited by restriction on juvenile herring catches | - | 50 | 43 | 29 |
| 2002 | Limited by restriction on juvenile herring catches | - | 50 | 31 | 18 |
| 2003 | Limited by restriction on juvenile herring catches | - | 50 | 33 | 17 |
| 2004 | Limited by restriction on juvenile herring catches | - | 50 | 32 | 20 |
| 2005 | Limited by restriction on juvenile herring catches | - | 50 | 48 | 40 |
| 2006 | Limited by restriction on juvenile herring catches | - | 52 | 23 | 13 |
| 2007 | Limited by restriction on juvenile herring catches | - | 52 | 21 | 16 |
| 2008 | Limited by restriction on juvenile herring catches | - | 52 | 12 | 9 |
| 2009 | Same advice as last year | - | 52 | 13 | 9 |
| 2010 | Same advice as last year | - | 52 | 13 | 11 |
| 2011 | No advice *** | - | 52 | 13 | 11 |
| 2012 | 20\% Reduction in catches (last 3 years average) ${ }^{* * *}$ | < 8.2 | 52 | 14 | 10 |


| Year | ICES Advice | Predicted catch <br> corresponding to advice | Agreed <br> TAC* | Official <br> Landings** | ICES <br> landings |
| :---: | :--- | ---: | ---: | ---: | ---: |
| $2013^{\#}$ | Reduce catch by at least 36\% compared to the average <br> (atch of the last three years | $<6.787$ | 41.6 | 9 | 4 |
| $2014^{\#}$ | Wanted catch at the level of the 2013 advice \#\# | $<6.787$ | 33.3 | 24 | 19 |
| $2015^{\#}$ | Precautionary approach (20\% more than recent advice) $\# \#$ | $\leq 8.144$ | 33.3 | 20 | 13 |
| $2016^{\#}$ | Precautionary approach (20\% more than recent advice) $\# \#$ | $\leq 9.773$ | 33.28 |  |  |

* TAC applies to all species in "mixed clupeoid" catches for the period 1988-97.
** Includes other species in "mixed clupeoid" catches.
*** Limited by restriction on juvenile herring catches.
\# Advice for the period July $1^{\text {st }}$ to June $30^{\text {th }}$.
\#\# The term "wanted catch" is used to describe fish that would be landed in the absence of the EU landing obligation. Note that in 2016, the EU landing obligation is in effect but discards are unknown for this fishery; therefore, advice is for wanted catch.


## History of catch and landings

Table 6.3.50.6 Sprat in Division 3.a. Catch distribution by fleet in 2015 as estimated by ICES.

| Total catch (2015) | Landings |  | Discards |
| :---: | :---: | :---: | :---: |
| Unknown | Skagerrak 8.7 kt | Kattegat 4.6 kt | Unknown |

Table 6.3.50.7 Sprat in Division 3a. History of commercial catch in (thousand tonnes) 1996-2015 as estimated by ICES. These figures do not in all cases correspond to the official statistics and cannot be used for management purposes. Catch data prior to 1996 are not presented as they are considered less reliable due to mixed clupeoid catches. After 1996 catch data are considered reliable due to the implementation of an improved monitoring scheme in 1996.

| Year | Skagerrak |  |  |  |  | Kattegat |  |  | Div. 3.a total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Denmark | Sweden | Norway | Germany | Total | Denmark | Sweden | Total |  |
| 1996 | 7.0 | 3.5 | 1.0 |  | 11.5 | 3.4 | 3.1 | 6.5 | 18.0 |
| 1997 | 7.0 | 3.1 | 0.4 |  | 10.5 | 4.6 | 0.7 | 5.3 | 15.8 |
| 1998 | 3.9 | 5.2 | 1.0 |  | 10.1 | 7.3 | 1.0 | 8.3 | 18.4 |
| 1999 | 6.8 | 6.4 | 0.2 |  | 13.4 | 10.4 | 2.9 | 13.3 | 26.7 |
| 2000 | 5.1 | 4.3 | 0.9 |  | 10.3 | 7.7 | 2.1 | 9.8 | 20.1 |
| 2001 | 5.2 | 4.5 | 1.4 |  | 11.2 | 14.9 | 3.0 | 18.0 | 29.1 |
| 2002 | 3.5 | 2.8 | * |  | 6.3 | 9.9 | 1.4 | 11.4 | 17.7 |
| 2003 | 2.3 | 2.4 | 0.8 |  | 5.6 | 7.9 | 3.1 | 10.9 | 16.5 |
| 2004 | 6.2 | 4.5 | 1.1 |  | 11.8 | 8.2 | 2.0 | 10.2 | 22.0 |
| 2005 | 12.1 | 5.7 | 0.7 |  | 18.5 | 19.8 | 2.1 | 21.8 | 40.3 |
| 2006 | 1.2 | 2.8 | 0.3 |  | 4.3 | 6.6 | 1.6 | 8.2 | 12.5 |
| 2007 | 1.4 | 2.8 | 1.6 |  | 5.9 | 8.5 | 1.3 | 9.8 | 15.7 |
| 2008 | 0.3 | 1.5 | 0.9 |  | 2.6 | 5.6 | 0.9 | 6.5 | 9.1 |
| 2009 | 1.1 | 1.4 | 0.7 |  | 3.2 | 5.8 | 0.2 | 6.0 | 9.2 |
| 2010 | 3.4 | 1.2 | 0.9 |  | 5.4 | 5.0 | 0.2 | 5.3 | 10.7 |
| 2011 | 3.5 | 1.8 | 0.7 |  | 6.0 | 4.5 | 0.3 | 4.8 | 10.7 |
| 2012 | 1.7 | 1.3 | 0.5 |  | 3.5 | 6.7 | 0.2 | 6.9 | 10.4 |
| 2013 | 0.3 | 0.7 | 0.9 |  | 1.9 | 1.6 | 0.4 | 2.0 | 3.9 |
| 2014 | 12.0 | 1.1 | 0.3 | * | 13.4 | 4.7 | 0.5 | 5.2 | 18.6 |
| 2015 | 7.5 | 0.9 | 0.3 |  | 8.7 | 4.2 | 0.4 | 4.6 | 13.3 |

[^0]
## Summary of the assessment

Table 6.3.50.8 Sprat in Division 3.a. Assessment summary with weights (in tonnes). The IBTS and HERAS indices are given by survey year and the anomalies and stock size index by assessment year.

| Year | Stock size index* | IBTS Q1 <br> Age 1 | IBTS Q1 Age 2 | IBTS Q3 <br> Age 1 | HERAS Age 1 | IBTS Q1 <br> Age 1 anomaly | IBTS Q1 <br> Age 2 <br> anomaly | IBTS Q3 <br> Age 1 <br> anomaly | HERAS <br> Age 1 <br> anomaly | ICES estimated landings (tonnes) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1974 |  |  |  |  |  |  |  |  |  | 71300 |
| 1975 |  |  |  |  |  |  |  |  |  | 100600 |
| 1976 |  |  |  |  |  |  |  |  |  | 58800 |
| 1977 |  |  |  |  |  |  |  |  |  | 67400 |
| 1978 |  |  |  |  |  |  |  |  |  | 77900 |
| 1979 |  |  |  |  |  |  |  |  |  | 95600 |
| 1980 |  |  |  |  |  |  |  |  |  | 83900 |
| 1981 |  |  |  |  |  |  |  |  |  | 76300 |
| 1982 |  |  |  |  |  |  |  |  |  | 39600 |
| 1983 |  |  |  |  |  |  |  |  |  | 26400 |
| 1984 | 3.012803859 | 5675.453 | 868.877 |  |  | 3.481833 | 0.50094 |  |  | 36100 |
| 1985 | 1.336368124 | 2157.763 | 2347.024 |  |  | 1.323766 | 1.353147 |  |  | 19700 |
| 1986 | 0.945339608 | 628.636 | 1979.238 |  |  | 0.385662 | 1.141104 |  |  | 10800 |
| 1987 | 1.675636929 | 2735.918 | 2845.931 |  |  | 1.678458 | 1.640785 |  |  | 14400 |
| 1988 | 2.067308139 | 914.468 | 5262.547 |  |  | 0.561017 | 3.034054 |  |  | 8700 |
| 1989 | 0.364561201 | 413.943 | 911.276 |  |  | 0.25395 | 0.525385 |  |  | 9800 |
| 1990 | 0.200949234 | 481.022 | 223.894 |  |  | 0.295102 | 0.129083 |  |  | 9700 |
| 1991 | 0.349878787 | 492.498 | 726.822 | 493.724 |  | 0.302143 | 0.41904 |  |  | 14000 |
| 1992 | 2.835941459 | 5993.636 | 598.711 | 1731.961 |  | 3.677035 | 0.345179 | 0.088335 |  | 10500 |
| 1993 | 1.195691462 | 1589.922 | 4168.612 | 309.005 |  | 0.975401 | 2.40336 | 0.309876 |  | 9100 |
| 1994 | 1.069870064 | 1788.861 | 715.839 | 9945.215 |  | 1.097448 | 0.412708 | 0.055286 |  | 96000 |
| 1995 | 1.369317488 | 2204.074 | 1769.532 | 13295.42 |  | 1.352177 | 1.020201 | 1.779362 |  | 55600 |
| 1996 | 2.292181715 | 199.3 | 5515.416 | 130.748 |  | 0.122269 | 3.179843 | 2.378769 |  | 18000 |
| 1997 | 0.138754202 | 232.654 | 391.234 | 437.176 |  | 0.142731 | 0.225561 | 0.023393 |  | 15800 |
| 1998 | 0.291004183 | 72.245 | 1585.222 | 62.82 |  | 0.044322 | 0.91394 | 0.078218 |  | 18400 |
| 1999 | 2.491516178 | 4534.96 | 355.242 | 8082.645 |  | 2.782152 | 0.20481 | 0.01124 |  | 26700 |
| 2000 | 0.550158091 | 292.316 | 737.798 |  |  | 0.179333 | 0.425368 | 1.446118 |  | 20100 |
| 2001 | 3.662731985 | 6539.478 | 1144.344 | 8501.662 |  | 4.011903 | 0.659757 |  |  | 29100 |
| 2002 | 0.871210341 | 1180.52 | 1035.707 | 3568.48 |  | 0.724237 | 0.597124 | 1.521087 |  | 17700 |
| 2003 | 0.567691974 | 461.659 | 1247.151 | 444.802 |  | 0.283223 | 0.719029 | 0.63846 |  | 16500 |
| 2004 | 0.23039108 | 402.87 | 49.002 | 7388.165 |  | 0.247157 | 0.028251 | 0.079582 |  | 22000 |
| 2005 | 1.942497437 | 3314.171 | 1563.164 | 12817.78 |  | 2.03321 | 0.901222 | 1.321864 |  | 40300 |
| 2006 | 3.656566232 | 1323.589 | 11855.76 | 849.819 | 61.3 | 0.812008 | 6.835288 | 2.29331 |  | 12500 |
| 2007 | 0.443303371 | 774.11 | 306.631 | 10899.96 | 5611.90 | 0.474909 | 0.176784 | 0.152047 | 0.073477 | 15700 |
| 2008 | 1.898667338 | 150.598 | 981.9 | 809.366 | 23 | 0.09239 | 0.566102 | 1.950181 | 6.728182 | 9100 |
| 2009 | 1.509864622 | 2686.719 | 124.463 | 3258.747 | 169.5 | 1.648275 | 0.071758 | 0.144809 | 0.027575 | 9200 |
| 2010 | 0.291952886 | 218.659 | 618.486 | 2335.444 | 836.1 | 0.134145 | 0.35658 | 0.583043 | 0.203216 | 10700 |
| 2011 | 0.346563335 | 135.548 | 2887.269 | 1413.118 | 45.4 | 0.083157 | 1.664618 | 0.417849 | 1.002411 | 10700 |
| 2012 | 0.310450607 | 209.485 | 1531.549 | 832.37 | 123.9 | 0.128517 | 0.882995 | 0.25283 | 0.054431 | 10416 |
| 2013 | 0.171016792 | 301.264 | 237.338 | 356.27 | 14.5 | 0.184822 | 0.136834 | 0.148925 | 0.148545 | 3900 |


| Year | Stock size <br> index* | IBTS Q1 <br> Age 1 | IBTS Q1 <br> Age 2 | IBTS Q3 <br> Age 1 | HERAS <br> Age 1 | IBTS Q1 <br> Age 1 <br> anomaly | IBTS Q1 <br> Age 2 <br> anomaly | IBTS Q3 <br> Age 1 <br> anomaly | HERAS <br> Age 1 <br> anomaly |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| ICES <br> estimated <br> landings <br> (tonnes) |  |  |  |  |  |  |  |  |  |
| 2014 | 0.300242628 | 518.178 | 229.093 | 30111.5 | 614.5 | 0.317897 | 0.132081 | 0.063743 | 0.017384 |
| 2015 | 0.785240345 | 957.727 | 206.943 | 16064.67 | 840.8 | 0.587556 | 0.11931 | 5.387441 | 0.736732 |
| 2016 | 2.469419966 | 4208.379 | 2216.262 |  |  | 2.581798 | 1.277758 | 2.874233 | 1.008046 |
| Avg | $\mathbf{1 . 2 6 1 9 7 2 4 7 5}$ | $\mathbf{1 6 3 0 . 0 1 9}$ | $\mathbf{1 7 3 4 . 4 9 3}$ | $\mathbf{5 5 8 9 . 2 0 3}$ | $\mathbf{8 3 4 . 1}$ | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ |

* Calculated from the age 1 indicator (IBTS Q1 age 1) and the age 2 indicator (IBTS Q3 age 2, and IBTS Q3 age 1 and HERAS age 1 in the previous year), taking into account the relative proportions of age 1 and age 2 in the sprat commercial catches (ICES, 2016a). The index is calculated using the comparison of the previous year's combined index with the previous four years' index to calculate the catch multiplier (CM).


## Sources and references

European Union (EU). 2013. Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC. http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=celex:32013R1380.

ICES. 2012. ICES Implementation of Advice for Data-limited Stocks in 2012 in its 2012 Advice. ICES CM 2012/ACOM:68. 42 pp.
ICES. 2013a. Sprat (Sprattus sprattus) in Division IIla (Skagerrak and Kattegat). In Report of the ICES Advisory Committee, 2016. ICES Advice 2016, Book 6, Section 6.3.48.

ICES. 2013b. Report of the Benchmark Workshop on Sprat Stocks (WKSPRAT), 11-15 February 2013, Copenhagen, Denmark. ICES CM 2013/ACOM:48. 220 pp.

ICES. 2016a. Report of the Herring Assessment Working Group for the Area South of $62^{\circ} \mathrm{N}$ (HAWG) 29 March-7 April 2016. ICES CM 2016/ACOM:07.

ICES. 2016b. General context of ICES advice. In Report of the ICES Advisory Committee, 2016. ICES Advice 2016, Book 1, Section 1.2.


[^0]:    * < 0.05 kt

